# **Energy Efficiency Standards and Labels in North America: Opportunities for Harmonization**

Stephen Wiel, Lawrence Berkeley National Laboratory Laura Van Wie McGrory, Lawrence Berkeley National Laboratory Lloyd Harrington, Energy Efficient Strategies

#### **ABSTRACT**

To support the North American Energy Working Group's Expert Group on Energy Efficiency (NAEWG-EE), USDOE commissioned the Collaborative Labeling and Appliance Standards Program (CLASP) to prepare a resource document comparing current standards, labels, and test procedure regulations in Canada, México, and the United States. The resulting document reached the following conclusions: Out of 24 energy-using products for which at least one of the three countries has energy efficiency regulations, three products – refrigerators/freezers, split system central air conditioners, and room air conditioners – have similar or identical minimum energy performance standards (MEPS) in the three countries. These same three products, as well as three-phase motors, have similar or identical test procedures throughout the region. There are 10 products with different MEPS and test procedures, but which have the short-term potential to develop common test procedures, MEPS, and/or labels. Three other noteworthy areas where possible energy efficiency initiatives have potential for harmonization are standby losses, uniform endorsement labels, and a new standard or label on windows. This paper explains these conclusions and presents the underlying comparative data.

## Introduction: Creation of NAEWG

In the spring of 2001, US President Bush, Mexican President Fox, and Canadian Prime Minister Chretien agreed to the creation of a North American Energy Initiative, which evolved into the North American Energy Working Group (NAEWG). NAEWG, led by the Secretaries of Energy from México and the United States and the Minister of Natural Resources Canada (NRCan), was created with the broad goals of fostering communication and cooperation among the governments and energy sectors of the three countries; enhancing North American energy trade, development, and interconnections; and promoting regional integration and increased energy security for the people of North America. Specifically, the Working Group is designed to explore policies, regulations, and technological innovations to encourage resource development, energy efficiency, renewable energy, clean power, and nuclear energy.

After its first meeting in June 2001 in Washington, D.C., the Working Group formed expert groups to gather information on the potential for joint cooperation in three focal areas: (1) development of a North American energy perspective on supply, demand, and infrastructure (the U.S. is the lead), (2) electricity restructuring and reliability (Canada is the lead), and (3) energy efficiency, with an emphasis on standards and related issues (Mexico is the lead). After the second NAEWG meeting (Ottawa, December 2001), a fourth expert group was formed to consider science and technology, with a focus on clean technology.

The Expert Group on Energy Efficiency (NAEWG-EE) convened in Mexico City on August 31, 2001. Participants included representatives from Mexico's National Commission for Energy Conservation (CONAE), Natural Resources Canada, and the U.S. Department of Energy (DOE). At the request of DOE and with the concurrence of the other two parties, representatives of the Collaborative Labeling and Appliance Standards Program (CLASP)<sup>1</sup> also participated in the meeting to provide technical assistance to the Expert Group.

Also at the request of the Expert Group in preparation for its August meeting, CLASP prepared a Resource Document comparing current standards, labels, and test procedure regulations in the three countries. Much of the background information for the Resource Document was provided by Energy Efficient Strategies, Australia, in its review of test procedures in APEC economies conducted for the APEC Secretariat (Harrington 1999). This paper provides an overview of the content of the resulting document, "Minimum Energy Performance Standards, Labels, and Test Procedures in Canada, México, and the United States".

The paper starts with a description of the scope of activities to harmonize certain aspects of the energy efficiency standards and labels programs of Canada, Mexico and the U.S. It follows with a description of the products covered by standards and labels in North America. There is then a comparison of standards and labels in North America, presented in four parts: 1) products with similar or identical MEPS, 2) products with different MEPS and test procedures but which have the short-term potential for harmonization of some element, 3) other noteworthy areas where possible energy efficiency initiatives have some potential for harmonization, and 4) comparison labels. The conclusions presented regarding the comparisons are then summarized. The paper concludes with a brief look at what harmonization activities might be forthcoming.

## **Scope of NAEWG-EE Harmonization Activities**

Energy efficiency is a crucial strategy in the energy policies of Canada, México, and the United States. Within the energy efficiency mandates of the three countries, test procedures, standards, labels, and associated compliance programs are important program initiatives to meet energy security, environmental, and various economic policy objectives. Some elements of these programs (e.g., technical specifications, test procedures) are common to the three countries. The NAEWG-EE Expert Group pointed out that, by collaborating, the three countries hope to reduce the costs of compliance with standards and mandatory labeling programs in the region and accelerate the replacement of older, less efficient products.

<sup>&</sup>lt;sup>1</sup> CLASP, formed in 1999, is a collaboration among LBNL, the Alliance to Save Energy, and the International Institute for Energy Conservation (IIEC). CLASP's sole mission is to promote the appropriate use of energy efficiency standards and labels for appliances, equipment, and lighting in developing and transitional countries.

In general, energy efficiency standards are a set of procedures and regulations that prescribe the energy performance of manufactured products, sometimes prohibiting the sale of products less energy-efficient than the minimum standard.<sup>2</sup> There are three types of energy efficiency standards: 1) prescriptive standards, 2) minimum energy performance standards (MEPS), and 3) class-average standards. Prescriptive standards require a particular feature or device to be installed in all new products. Performance standards prescribe minimum efficiencies (sometimes in the form of maximum energy consumption for a particular product configuration and/or size) that manufacturers must achieve in each product, but not the technology or design specifications of that product. Class-average standards specify the average efficiency of a manufactured product, allowing each manufacturer to select the level of efficiency of each model in order to achieve an overall average efficiency for a product line (Wiel and McMahon 2001).

Energy efficiency labels are informative labels affixed to manufactured products indicating the products' energy performance (usually in the form of energy use, efficiency, and/or energy cost) to help consumers make more informed purchases. We distinguish among three kinds of labels: 1) endorsement labels, 2) comparative labels, and 3) information-only labels. Endorsement labels are essentially "seals of approval" according to some specified set of criteria (which may not relate specifically to energy). Endorsement labels do not usually show any product information or performance data. The endorsement labels used by each of the three countries to endorse energy efficient products are shown in Figure 1.

Figure 1. Endorsement Labels in Canada, México, and the United States

Canada México USA

Energy Star Sello FIDE Energy Star & O



Comparative labels offer consumers information that allows them to compare performance among similar products, using either discrete categories of performance (or efficiency) or a continuous scale. Energy consumption and/or cost also may be shown on the

<sup>&</sup>lt;sup>2</sup> The term "standard" is sometimes used to refer to a well-defined protocol (or laboratory test procedure) by which to obtain a sufficiently accurate estimate of the energy performance of a product in the way it is typically used, or at least a relative ranking of the energy performance compared to other models. In this paper, we use the term "standard" only for a target limit on energy performance formally established by a government.

label. The comparison labels used by each of the three countries are shown in Figure 2. Information-only labels simply provide basic data on a product's performance (with no reference to the relative performance of similar products) and are not used by any of the three countries examined in this paper (Wiel and McMahon, 2001).

The NAEWG-EE team initially has focused on five aspects of energy efficiency standards and labels programs and has documented the status of each in the three participating countries:

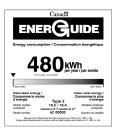
- 1. test procedures
- 2. mutual recognition of laboratory results
- 3. voluntary endorsement labels
- 4. mandatory comparative labels
- 5. minimum performance standards (MEPS)

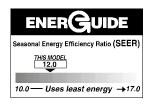
Figure 2. Comparison Labels in Canada, México, and the United States

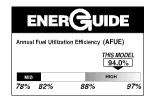
#### Canada

Mandatory EnerGuide Program: Labels display the annual energy (kWh/year) used by the appliance and how this compares with the lowest and highest energy consumption for similar products

Voluntary EnerGuide Program: Labels demonstrate how the appliance compares with the lowest & highest energy efficiency for similar products, generally used for HVAC.







#### Mexico

Refrigerator and central AC: Percent of Energy Savings relative to the MEPS Level. Rooom AC: Efficiency Rating relative to MEPS level, A to E (E best), and annual running costs.





#### USA

Energy Guide Program: Energy (kWh/year), operating cost and lowest & highest energy used for similar products (EER and or SEER for air conditioners).



# Products Covered by Standards and Labels in North America

NAEWG-EE has identified 46 products for which at least one of the three countries has energy efficiency regulations. These are shown in Table 1.

Table 1. Existing MEPS and Labels in Canada, México, and the United States

Product	Canada	México	USA
Refrigerators	L <sub>mc</sub> , L <sub>ve</sub> , S <sub>m</sub>	$L_{mc}, L_{ve}, S_{m}$	$L_{mc}, L_{ve}, S_{m}$
Freezers	$L_{mc}, L_{ve}, S_{m}$	S <sub>m</sub>	$L_{mc}, L_{ve}**, S_{m}$
Central AC	$L_{vc}, L_{ve}, S_{m}$	$L_{mc}$ , $S_{m}$	$L_{mc}, L_{ve}, S_{m}$
Heat Pumps	L <sub>vc</sub> , L <sub>ve</sub> , S <sub>m</sub>	S <sub>m</sub>	$L_{mc}, L_{ve}, S_{m}$
Room AC	L <sub>mc</sub> , L <sub>ve</sub> , S <sub>m</sub>	$L_{mc}, L_{ve}, S_{m}$	$L_{mc}$ , $L_{ve}$ , $S_{m}$
Other AC/HP Categories	L <sub>vc</sub> , L <sub>ve</sub> S <sub>m</sub>	L <sub>mc</sub>	$L_{mc}$
Clothes Washers	L <sub>mc</sub> , L <sub>ve</sub> S <sub>m</sub>	$L_{mc}$ , $S_{m}$	$L_{mc}, L_{ve}, S_{m}$
Clothes Dryers	L <sub>mc</sub> ,S <sub>m</sub>	2214,	L <sub>ve</sub> **,S <sub>m</sub>
Dishwashers	$L_{mc}, L_{ve}, S_{m}$		$L_{mc}, L_{ve}, S_{m}$
Fluorescent Ballasts	S <sub>m</sub>	$L_{mc}$ , $S_v$	$L_{mc}$ , $S_{m}$
Fluorescent Lamps	$L_{ve},S_{m}$	$L_{mc}, L_{ve}, S_{m}$	$L_{mc}, L_{ve}, S_{m}$
Incandescent Lamps and Luminaires	S <sub>m</sub> (lamps only)		$L_{ve},S_{m}$
Ranges/Ovens	$L_{mc}$ , $S_{m}$		L <sub>ve</sub> **
Dehumidifiers	L <sub>ve</sub> S <sub>m</sub>		$L_{ve}$
Icemakers	S <sub>m</sub>		
Televisions	L <sub>ve</sub>	$L_{ve}$	$L_{ve}$
VCRs	L <sub>ve</sub>		L <sub>ve</sub>
DVDs	L <sub>ve</sub>		$L_{ve}$
Set Top Boxes			$L_{ve}$
Radio Rcvr/Rcdr	L <sub>ve</sub>		L <sub>ve</sub>
Cordless Phones			$L_{ve}$
Answering Machines			$L_{ve}$
Ceiling and Ventilating Fans	L <sub>ve</sub>		$L_{ve}$
Direct Heating Equipment			S <sub>m</sub>
Computers	L <sub>ve</sub>		L <sub>ve</sub>
Monitors	$L_{ve}$		$L_{ve}$
Copiers	$L_{ve}$		L <sub>ve</sub>
Printers	L <sub>ve</sub>		$L_{ve}$
Fax Machines	$L_{ve}$		$L_{ve}$
Scanners	$L_{ve}$		L <sub>ve</sub>
Multi-Function Devices*	$L_{ve}$		$L_{ve}$
Furnaces	L <sub>vc</sub> L <sub>ve</sub> ,S <sub>m</sub>		$L_{mc}, L_{ve}, S_{m}$
Boilers	L <sub>ve</sub> S <sub>m</sub>	$S_{m}$	$L_{mc}, L_{ve}, S_{m}$
Central Gas Heaters	$L_{vc}$		L <sub>mc</sub>
Space Heaters	L <sub>vc</sub>		L <sub>mc</sub>
Water Heaters	S <sub>m</sub>	$L_{mc}$ , $S_m$	$L_{mc}$ , $S_{m}$
Motors	$S_{m}$	$L_{ve}, S_{m}$	$S_{m}$
Transformers	L <sub>ve</sub> , S <sub>v</sub> (liquid)		L <sub>ve</sub>

Centrifugal Residential Pumps		$L_{mc}$ , $S_{m}$	
Commercial Refrigerators		$L_{mc}$ , $S_m$	$L_{ve}$
Exit Signs	$L_{ve}$		$L_{ve}$
Water Coolers	$L_{ve}$		$L_{ve}$
Programmable Thermostats	L <sub>ve</sub>		L <sub>ve</sub>
Traffic Lights	$L_{ve}$		$L_{ve}$
Windows			$L_{ve}$
Roof Products			$L_{ve}$

L = Label, S= Standard, m = mandatory, v = voluntary, e = endorsement, c = comparative \*Multi-function Devices (MFDs) = Usually a combination of printer, fax, scanner, and/or copier

## Comparison of Standards and Labels in North America

NAEWG-EE has characterized the status of each of the aspects of energy efficiency standards and labels and has identified opportunities where the countries might benefit from harmonization. The results can be stated as the following four findings:

1) A comparison of the MEPS of each product shows that three – refrigerators/freezers, split system central air conditioners, and room air conditioners – have similar or identical minimum energy performance standards in the three countries (though the date of introduction of these standards varies by product). A look at the test procedures for each shows that these same three products, as well as three-phase motors, have similar or identical test procedures throughout the region. This finding is summarized below in Table 2. The comparisons of the MEPS are presented in Appendix 1. The comparisons of the test procedures are presented in Appendix 2.

Table 2 - Products with similar or identical MEPS and test procedures in Canada, México, and the United States

MEPS	Test Procedures
Refrigerators and freezers	Refrigerators and freezers
Split system central AC	Central AC and heat pumps
Room AC	Room AC
	3-phase motors

- 2) There are 10 products with different MEPS and test procedures, but which have the short-term potential to develop common test procedures, MEPS, and/or labels. Table 3 lists products for which one of the following applies.
  - Canada, México, and the United States have MEPS and/or test procedures, but the details of these regulations differ between one or more of the countries; or
  - Only two countries have MEPS and/or test procedures, but these are the same or similar.

<sup>\*\*</sup> In the US, Green Star voluntary endorsement labels apply to freezers, clothes dryers, and ranges/ovens, but Energy Star labels do not.

Table 3. Products that could share common MEPS and label in the short term

MEPS	Test Procedures
Clothes washers	Clothes washers and dryers
Dishwashers	Dishwashers
Fluorescent lamp ballasts	Fluorescent lamp ballasts
Fluorescent lamps	Fluorescent lamps
Incandescent reflector lamps	Incandescent reflector lamps
Motors	
Small motors	
Single packaged CAC and HPs	
	Water heaters
	Transformers

- 3) Three other noteworthy areas were identified where possible energy efficiency initiatives have some potential for harmonization in the three countries.
  - a) Standby losses:

Relevant activities: On July 31, 2001, US President George Bush issued an executive order on energy efficient standby power devices. The order directs federal agencies, when purchasing commercially available products that use external standby power devices or that contain an internal standby power function, to buy products that use no more than one watt in their standby power consuming mode, or use the lowest wattage available. Agencies must adhere to these requirements when life-cycle cost-effective and practicable and where the relevant product's utility and performance are not compromised as a result. The Department of Energy, in consultation with the Department of Defense and the General Services Administration, is compiling a list of products to be subject to these requirements, which will be updated on an annual basis. Independent agencies are encouraged to comply with the provisions of this order. In addition, the Federal Energy Management Program (FEMP) has issued recommended maximum standby levels for televisions, VCRs, and a growing list of other products. A database of products with low standby power is available at http://oahu.lbl.gov/. FEMP also has created guidelines for measurement of standby power use (also available on the website), to support the Executive Order.

Standby losses also are a concern in Canada, which currently is investigating various strategies to address these concerns.

#### b) Uniform endorsement label:

The characteristics of the endorsement labels in the three countries are shown in Table 4. Relevant activities: Energy Star was introduced by the US Environmental Protection Agency (EPA) in 1992 as a voluntary labeling program designed to identify and promote energy-efficient products, in order to reduce carbon dioxide emissions. EPA partnered with the USDOE in 1996 to promote the Energy Star label, with each agency taking responsibility for particular product categories. Energy Star has expanded to cover new homes, most of the buildings sector, residential heating and cooling equipment, major appliances, office equipment, lighting, and consumer electronics. In May 2001, Canada signed an administrative agreement with the USEPA and USDOE to administer the Energy Star program in Canada. Products in the agreement that currently have an EnerGuide label will have the Energy Star logo on the same label (see Figure 1). Canada's program covers most of the products covered by the US Energy Star program; Canada will be increasing the

labeling of commercial and industrial type products either through the Energy Star or EnerGuide mechanism.

Pursuant to the goals of the NAEWG Energy Efficiency Working Group, Mexico is exploring the requirements and benefits of joining the Energy Star labeling program.

**Table 4. Characteristics of Endorsement Labels** 

AGREEMEN'	TS WITH PARTNERS	
Energy Star	Voluntary. Products approved in the US are licensed to display the label	
(Canada)	in Canada. Promotion and implementation of the bilingual program is the	
	responsibility of NRCan.	
Sello FIDE	Voluntary. Manufacturers pay for certification and sign an agreement stipulating length of validity of the Sello FIDE endorsement, how it can be displayed, etc.	
Energy Star	Voluntary. Manufacturers pay the costs for printing and applying the Energy Star logos.	
Green Seal	Voluntary. The products eligible for a label are selected in consultation with industry, environmentalists, consumer groups, and the public.	
CRITERIA		
Energy Star (Canada)	See Energy Star. US EPA and US DOE are responsible for developing endorsement criteria, but all partners participate in the development of new specifications.	
Sello FIDE	Products must have a high level of efficiency compared to the market in general.	
Energy Star	For office and household electronic equipment, the label indicates that the model has certain power management capabilities and/or achieves a maximum allowable standby power consumption (e.g., for TVs, standby power [] 3W); in the case of computer equipment these capabilities have to be enabled when supplied. For other equipment, the label indicates that the product is among the most efficient of its type, either because it is in the top percentile of the range on the market, or because it exceeds the MEPS level by a specified margin (this margin differs for each product and depends on available technology, e.g., 20% for refrigerators and 15% for room AC). For photocopiers, the product must have certain paper handling as well as power management capabilities.	
Green Seal	Eligible products are selected according to the significance of their potential environmental impact and in consultation with industry, environmentalists, consumer groups, and the public. Criteria are then established addressing the areas where the product has most negative impact.	
COMPLIANO	COMPLIANCE	
Energy Star (Canada)	See Energy Star below – Manufacturers report their energy efficiency levels (as tested by a third party) to NRCan as part of the regulatory compliance which allows for additional verification for those Energy Star products that also have MEPS or a comparison label.	

Sello FIDE	Manufacturers submit certified test results on their products. A certified laboratory tests the product to verify manufacturer claims.
Energy Star	Manufacturers are responsible for ensuring their own compliance to Energy Star criteria. USDOE and EPA can test products to check compliance if necessary; non-compliant products/manufacturers are removed from the program.
Green Seal	Manufacturers pay Green Seal to organize the testing and monitoring of their product. Once the label is awarded, the product is checked annually. Energy is one of the many criteria assessed for eligibility.

#### c. New standard or label on windows:

Relevant activities: The US and Canada have been working to standardize the process for determining and reporting energy efficiency properties of windows. Both countries have been involved in the writing of ISO15099, which documents the technical algorithms used by computer programs to simulate window thermal performance properties. This standard is now in FDIS form and is expected to be adopted in 2002.

The National Fenestration Rating Council (NFRC) in the US and the Canadian Standards Association (CSA-A440) in Canada have been working to standardize the logistical procedures for rating and labeling windows with thermal performance properties. This work has been ongoing for several years and is continuing. CSA also is working with the US American Architectural Manufacturers Association (AAMA) to standardize reporting of non-energy parameters (i.e., structural issues, water infiltration) for windows.

Recently, México's National Center for Research and Technology Development (CENIDET), associated with the National University of México, has been working with US and Canadian researchers on technical topics relating to determining window thermal performance parameters.

However, issues such as differences in climate and construction may mean that the endorsement criteria for window labels may be more difficult to harmonize, even if the underlying tests and methodologies are harmonized.

4) For various reasons, the harmonization of comparison labels among the three countries is judged to be of lower priority than the other opportunities described above.

Given differences in culture and language (among other things), such harmonization may not be appropriate and may not occur in the foreseeable future. For completeness of reporting, we show the characteristics of the three countries' comparison labels in Table 5.

**Table 5. Characteristics of Comparative Labels in North America** 

Product	Canada (EnerGuide)	México	USA (Energy Guide)
Information Displayed on Label:	Mandatory labels: Energy (kWh/year) used by the appliance	Mandatory labels: Energy (kWh/year) used by the appliance	Energy (kWh/year) used by the appliance
	How energy use compares with the lowest and highest energy consumption for similar products		How energy use compares with the lowest and highest energy consumption for similar products Estimated annual energy cost based on energy consumption of the
Refrigerators	Mandatory labels: Energy (kWh/year)	Percentage energy savings relative to the relevant MEPS level shown as an arrow with a % sign.	model Energy (kWh/year)
AC ratings	Mandatory label: Air conditioner ratings based on EER Voluntary label: AC and HP ratings based on SEER	For CAC: same as refrigerators. For room AC: Label displays EER and allows calculation of running costs. It ranks the product relative to the MEPS level from A to E, with E best.	Ratings based on EER or SEER. Energy costs appear on label for room AC, and on fact sheets and in industry-produced product directories for the other climate-control appliances.
Furnace ratings	Voluntary label: Furnace ratings based on AFUE	,	
Clothes washer/ dryers	Labels show kWh used for 392 cycles per year for washers and 416 cycles per year for dryers.	Percentage energy savings relative to the relevant MEPS level shown as an arrow with a % sign.	Labels for clothes washers show kWh used for 416 cycles per year (clothes dryers do not carry a label).
Label placement	Mandatory label: On product. Voluntary label: In manufacturers' brochures and websites	On product	On product
Other program elements	EnerGuide brand also used to identify energy performance of cars, vans, light trucks, and homes.		
Future	Canada will be increasing the labeling of commercial and industrial type products perhaps through the EnerGuide mechanism.	The labeling system in México is under review.	Various alternative label designs have been examined in ongoing evaluation research of the EnergyGuide label.

## **Summary of Conclusions**

In the spring of 2001, US President Bush, Mexican President Fox, and Canadian Prime Minister Chretien initiated the creation the North American Energy Working Group (NAEWG). Within NAEWG a Working Group was formed to foster the harmonization of various aspects of the energy efficiency standard-setting and labeling programs of the three countries. A comparison of the MEPS of each of the 46 products for which at least one of the three countries has energy efficiency regulations, conducted for NAEWG by CLASP, shows that three – refrigerators/freezers, split system central air conditioners, and room air conditioners – have similar or identical minimum energy performance standards and these same three products, as well as three-phase motors, have similar or identical test procedures throughout the region (see Table 2 above). There are 10 products with different MEPS and test procedures, but which have the short-term potential to develop common test procedures, MEPS, and/or labels (see Table 3 above). Three other noteworthy areas were identified where possible energy efficiency initiatives have some potential for harmonization in the three countries: 1) treatment of standby losses, 2) an endorsement label, and 3) a new standard or label on windows.

#### Planned NAEWG Harmonization Activities

At the August 31<sup>st</sup> meeting, the NAEWG Energy Efficiency Expert Group drafted a workplan for Canada, México, and the United States to cooperate on energy efficiency programs. Five elements were identified as being within the scope of the Expert Group's objectives: test procedures, mutual recognition of laboratory results, voluntary endorsement labels, mandatory comparative labels, and mandatory energy performance standards. The workplan recommended that NAEWG concentrate initially on the first three of these elements—harmonization of test procedures and voluntary endorsement labels, and mutual recognition of laboratory results.

In addition, stakeholder participation was identified as a key issue in the continuation of the process. The Expert Group recommended to NAEWG that the process be opened to public consultation, and all three countries are implementing strategies for soliciting stakeholder input.

### a) Test procedures:

The following four products were identified as candidates for possible early harmonization of test procedures: refrigerators and freezers, room air conditioners, central air conditioners and heat pumps, and integral horsepower electric motors. The Expert Group has completed initial comparisons of the test procedures for refrigerators and motors, and will work with stakeholders in the industry to verify the results of these analyses and carry out comparisons of the other two products.

#### b) Endorsement labels:

Mexico is exploring the requirements and benefits of adopting the Energy Star voluntary endorsement label.

## c) Mutual recognition:

The Expert Group is working with the three governments to assess possibilities for mutual recognition of testing laboratories and their results.

Based on the results of consultations with stakeholders, the Expert Group plans to draft a long-term energy efficiency harmonization plan for North America.

To date, CLASP's findings have identified opportunities to beneficially harmonize several test procedures and program requirements, as well as endorsement labels, given the significant flow of energy-using products throughout North America. It remains to be seen, through the continuing activities of NAEWG-EE, what degree of harmonization of MEPS, if any, will be deemed desirable and feasible in the region.

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# **Appendices**

Appendix 1. Comparison of MEPS in Canada, México, and the United States

Refrigerators	All three countries have MEPS for refrigerators and freezers. All three	
and freezers	countries had identical MEPS until July 2001, when Canada and the US	
	adopted new (identical) MEPS	
Central air	For single-packaged central AC and HPs, Canada's cooling SEER is the	
conditioners	same as the US1993 MEPS; for split-systems, Canada's SEER is the	
and heat	same as the US 1992 MEPS. For both types, Canada's heating HSPF is	
pumps	identical with the US level for those levels covered (though the climate	
	does not warrant coverage of all levels). In México, the MEPS for both	

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	split and packaged CACs is the same as the US and Canadian SEER for
	split system CACs, but heat pumps and CAC units with additional space
	heating capability are exempt. New MEPS for residential central AC are
Room air	in progress in the US and Canada  Effective in 2002, Canada will implement increased MEPS, which will
conditioners	bring Canada in line with the Oct. 2000 US rule. México's rule was just
conditioners	revised and took effect in June 2001. The new levels are comparable to
	the 2000 US MEPS.
Other AC/HP	Only Canada and the US have MEPS in this category. For packaged
categories	terminal AC and HP, the two countries have different MEPS. Other
categories	classes of products in this category are defined differently and not
	comparable between the two countries.
Clothes	All three countries have MEPS for clothes washers. Only Canada and
washers and	the U.S. have MEPS for clothes dryers. Canada is working to develop
dryers	new MEPS for clothes washers to harmonize with recent USDOE
	modifications, scheduled to take effect in 2004 and 2007. México's
	MEPS for clothes washers is different.
Dishwashers	Only Canada and the US have dishwasher MEPS. They are identical.
Fluorescent	Only Canada and the US have MEPS. In late 2001 or early 2002,
lamp ballasts	Canada will increase its levels to match the US levels scheduled to take
_	effect in 2005 and 2010.
Fluorescent	The US and Canada have identical MEPS for general service
lamps	fluorescent lamps; México has a voluntary standard, with different
	MEPS. México and the US have different standards for CFLs; Canada
	has no standard.
Incandescent	Canada is currently in the process of amending their MEPS for
lamps and	incandescent reflector lamps, which will make the US and Canadian
luminaires	scope and levels similar (except Canada plans to include ER lamps).
	México has a standard for lighting in commercial buildings and exterior
E14	lighting. The US has a standard for incandescent non-reflector lamps.
Electric ranges	Only Canada has MEPS. Depending on the results of the TP update,
and ovens	Canada may make changes to the levels. [n.b. United States regulations mandate that gas cooking products with an electrical supply cord shall
	not be equipped with a constant burning pilot light. Canada's
	regulations require that gas ranges may not have a continuously burning
	pilot light if the product has a cord set.]
Dehumidifiers	Only Canada has MEPS.
Icemakers	Only Canada has MEPS.
<b>Direct Heating</b>	Only the US has MEPS.
Equipment	
Furnaces and	All three countries have different MEPS for residential furnaces and
boilers	boilers. The US is undertaking a new rulemaking on this equipment.
Water heaters	All three countries have different levels, and Canada is working to
	harmonize with US levels. México's MEPS do not cover electric water
	heaters.

Motors	All three countries have MEPS. In Canada and the US, the MEPS relating to motors that conform to NEMA requirements are identical, but the Canadian program also covers metric motors. Mexico has recently completed a revision of its MEPS, making the levels equivalent to those in the US and Canada. Canada is investigating establishing minimum efficiency levels for small motors and harmonization with México's MEPS. The US is considering a small motors MEPS.
Transformers	México has MEPS for liquid-type distribution transformers and voluntary standards for dry-type transformers. Canada will soon publish MEPS for dry-type distribution transformers (effective 2003/2004). Canada also is working on a voluntary agreement for minimum levels for liquid filled transformers. The US currently is beginning a rulemaking for both dry and liquid-filled transformers (effective date TBD).
Pumps	México has MEPS for four types of pumps: vertical turbine external motor, centrifugal residential water, submersible clean water, electromechanical systems of vertical turbine pumps. The US and Canada have no MEPS for pumps.
Commercial Refrigerators	Only México has MEPS for commercial refrigeration units.

Appendix 2. Comparison of Test Procedures in Canada, México, and the United States

Refrigerators	All three countries use an equivalent test procedure.
and freezers	
Central air	Canada's TPs are based on ARI 210/240-89 and ASHRAE 37-1988.
conditioners	The US test procedure refers to ARI 310/380-93 and ARI 210/240-94.
and heat	México's test method is ANSI/ASHRAE 37; the tolerances and
pumps	efficiency levels are identical to that used in the US.
Room air	The test procedures are essentially the same in all three countries. An
conditioners	amendment to the Canadian TP was issued in 2001.
Other AC/HP	For packaged terminal AC and HP, the US test procedure is ASHRAE
Categories	90.1, which specifies a number of ANSI and ARI standards as the test
	methods. Canada's TP is identical to ARI-310/380-93; Canada is
	working toward publication of a new Joint Standard with ARI 310/380.
Clothes	All three countries have test procedures for clothes washers. Only
washers and	Canada and the U.S. have test procedures for clothes dryers. The
dryers	current Canadian and U.S. TPs are essentially identical for both clothes
	washers and clothes dryers. México's test procedure for clothes washers
	is different. The US just published a new TP (J1) that will be effective
	in 2004, Canada is developing new editions of the TPs for both products
	(clothes washer similar to US).
Dishwashers	Only Canada and the US have test procedures, which are similar. The
	US will soon publish new test procedures, and will begin an additional
	TP for "smart" equipment.

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Fluorescent lamp ballasts	All three countries have test procedures. Canada and the US have similar test procedures. The Canadian test procedure has been amended and is similar to US test procedure.
Fluorescent lamps	All three countries have test procedures for general service fluorescent lamps; those of the US and Canada are essentially identical. The three countries have different test procedures for CFLs.
Incandescent	The US and Canadian test procedures for incandescent reflector lamps
lamps and	are essentially the same. México has TPs for lighting in commercial
luminaires	buildings and exterior lighting. Canada has TPs for dusk to dawn
	luminaries and roadway luminaries. The US has a TP for incandescent non-reflector lamps.
Ranges and	Canada and the US have test procedures for electric ranges; Canada is
ovens	revising the TP to use the same usage factors as the US, also to include
	a volume specific formula for built-in ovens.
Dehumidifiers	Only Canada has a test procedure.
Icemakers	Only Canada has a test procedure.
Direct Heating	Only the US has a test procedure.
Equipment	•
Furnaces and	All three countries have different test procedures, although the TP for
boilers	gas furnaces is identical in Canada and the U.S. The US will soon
	publish a revised test procedure for residential furnaces and boilers,
	which references ASHRAE 90.1. Canada has published a new version
	of the TP for oil-fired furnaces and boilers (updating to ANSI) but it
	has not been referenced in the regulations.
Water heaters	The three countries have different test procedures. Canada also has a
	TP, which is harmonized with the USA drawoff method, which is
	being considered for introduction into the Canadian regulations. A new test procedure is in progress in the US for commercial water heaters.
Motors	The three countries have similar test procedures, with some differences.
Transformers	Canada's test procedure for dry-type and liquid filled is essentially equivalent to NEMA TP2. The US has a test procedure underway that may be based on NEMA TP 2. NEMA has agreed to consider suggested revisions to TP 2. México has its own test procedures for transformers. Canada published a new TP for power transformers in 2001.
Pumps	The test procedure for small pumps in Canada will soon be published. Three of four test procedures for pumps in México are based on ISO-3555 standards. The US has no test procedure for pumps.
Refrigerated	Only Canada has a test procedure for refrigerated display cabinets.
Display	Only México has a test procedure for commercial refrigeration units.
Cabinets/	
Commercial	

Uninterruptible	Only Canada has a test procedure.
<b>Power Supplies</b>	
<b>Exit Signs</b>	Only Canada has a test procedure.
Mechanical	Only Canada has a test procedure.
Ventilation	
Systems	
High intensity	Only Canada has a test procedure.
discharge lamp	
ballasts	
Building	Only México has a test procedure.
Envelopes	